



RIZE ETF UNVEILS NEW GLOBAL SUSTAINABLE INFRASTRUCTURE AND USA ENVIRONMENTAL IMPACT ETFs IN EUROPE

London, 29 August 2023

Europe's first SFDR Article 9 global sustainable infrastructure ETF has launched today, alongside Europe's first SFDR Article 9 USA environmental impact ETF – both by Rize ETF, Europe's first specialist thematic ETF issuer.

Listed on the London Stock Exchange (LSE) and the Frankfurt Stock Exchange (Xetra), with listing on the SIX Swiss Exchange due in the coming weeks, are:

- ❖ [Rize Global Infrastructure UCITS ETF \(NFRA\)](#)
- ❖ [Rize USA Environmental Impact UCITS ETF \(LUSA\)](#)

Both ETFs carry an OCF of 0.45% and seeks to provide investment returns that correspond generally to the [Foxberry SMS Global Sustainable Infrastructure USD Net Total Return Index](#) and the [Foxberry SMS USA Environmental Impact USD Net Total Return Index](#), respectively, before fees and expenses.

Rize Global Sustainable Infrastructure UCITS ETF (NFRA)

Rize Global Sustainable Infrastructure UCITS ETF ([NFRA](#)) is Europe's **first and only** SFDR Article 9 sustainable thematic ETF specifically targeting companies that are making a substantial contribution to global sustainable infrastructure development.

These are companies involved in maintaining and upgrading the existing infrastructure of more industrialised economies, as well as companies developing new infrastructure required for the economic advancement of less industrialised economies as part of our transition to a greener, more sustainable economy.

NFRA is differentiated in offering a distinct investment approach that goes beyond traditional thematic investment in "pure play" infrastructure companies (those generating at least 50% of their revenue from infrastructure-related business activities).

Specifically, NFRA integrates both the Rize Future First ESG policy and programme, which, among other things, excludes all exposure to fossil fuel infrastructure, and embeds a unique and first-of-its-kind **Sustainability Contribution Adjustment Framework** developed in

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partnership with sustainability experts [Sustainable Market Strategies](#), which seeks to evaluate and score each company for its contribution to environmental and social objectives of the EU Taxonomy for Sustainable Activities (“EU Taxonomy”) and the UN Sustainable Development Goals (SDGs). The latter analysis takes into account the geographical region in which the company operates. [CLICK HERE for more information on this innovative framework.](#)

Rahul Bhushan, Co-Founder and Director of Rize ETF says:

“The Rize Global Infrastructure UCITS ETF (NFRA) enables traditional ETF investors to access global infrastructure in a way that balances economic, environmental and social objectives. It enables thematic ETF investors to access a theme with both a low volatility screen and a quality screen to help diversify otherwise growth-heavy portfolios. Finally, it enables sustainable/impact ETF investors to access an Article 9 solution with a novel and first-of-its-kind sustainability framework for infrastructure which is rooted in the EU Taxonomy and the UN SDGs.

We are confident that the next wave of thematic ETF investing will be smarter and built for resilience, and crucially, solve client needs. This is precisely what NFRA aims to do, whilst disrupting the orthodoxy that thematic investing is synonymous with growth investing. This is the first theme of many for us that seeks to expand the way investors think about thematic exposures.”

Rize USA Environmental Impact UCITS ETF (LUSA)

Rize USA Environmental Impact UCITS ETF ([LUSA](#)) is Europe’s **first and only** Article 9 sustainable thematic ETF specifically targeting US companies that are making a substantial contribution to one or more of the six environmental objectives of the EU Taxonomy.

LUSA employs a proprietary classification methodology developed in collaboration with sustainability experts [Sustainable Market Strategies](#), which deconstructs the **six environmental objectives of the EU Taxonomy** into a series of “high impact” investment sub-sectors. These sub-sectors include renewables, energy efficiency, hydrogen and alternative fuels, clean water, electric vehicles and the transition to a circular economy.

Collectively, these are select US companies developing and applying innovative and impactful solutions to the world’s most pressing climatic and environmental challenges. For further information, please consult the SMS Environmental Impact Opportunities Thematic Classification, [here](#).

Stuart Forbes, Co-Founder and Director of Rize ETF says:

“The Rize USA Environmental Impact UCITS ETF (LUSA) seamlessly extends our environmental range of Article 9 ETFs. For quite some time, we have firmly believed that regionalising thematic ETF exposures is essential, as it empowers investors to express more precise views. This approach also enhances the utility and functionality of such investments within portfolios.

With the remarkable momentum currently driving the green transition in the US, evident through significant legislative bills like the Energy Act, infrastructure Investment and Jobs Act, CHIPS and Science Act and the Inflation Reduction Act, LUSA stands as the ideal choice to seize the multitude of investment opportunities emerging in this sector.”

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The two new ETFs bring Rize ETF's total ETF offering to 11, and Rize ETF's Article 9 sustainable thematic ETF offering to 6. The recent launches complement existing Article 9 sustainable thematic strategies, including:

[Rize Environmental Impact 100 UCITS ETF \(LIFE\)](#),
[Rize Circular Economy Enablers UCITS ETF \(CYCL\)](#),
[Rize Sustainable Future of Food UCITS ETF \(FOOD\)](#)
[Rize Education Tech and Digital Learning UCITS ETF \(LERN\)](#).

-Ends-

About Rize ETF

Rize ETF is Europe's first specialist thematic ETF issuer. The company specialises in the creation of ESG thematic (Art 8) and Sustainable/Impact thematic (Art 9) indices and ETFs.

The asset manager was launched in January 2019 by early thematic ETF pioneers Rahul Bhushan, Stuart Forbes, Anthony Martin and Jason Kennard, with the company's first ETFs launching in February 2020.

The founders are a proven team of ETF experts with over 45 years of combined experience. The founders specialise in creating high quality, research-led and purpose-built thematic indices and ETFs that leverage the unique insights and expertise of the best, most credible experts in each theme.

Rize ETF is on a mission to provide investors with access to the world's most compelling thematic disruptions that not only resonate with their long-term convictions but also align with their personal values and belief systems.

As of 25/08, the company had an AUM of \$USD 430 million.

For further information, please visit: <https://rizeetf.com>.

About Sustainable Market Strategies

Rize ETF has partnered with Sustainable Market Strategies ("**SMS**") to power up the Rize Global Sustainable Infrastructure UCITS ETF and the Rize USA Environmental Impact UCITS ETF.

SMS is an independent sustainability intelligence firm that provides thematic research and market insights to a global audience of asset owners, investment managers, and public policy decision makers. The company's research caters to portfolio managers, sustainability teams and C-suite executives in the investment, regulatory and policy space.

Since its creation in 2018, Sustainable Market Strategies has published over 200 investment research notes on sustainable investment themes, analysing the technological, strategic and financial potential of over 1,000 public and private companies in order to find the winners of the transition to a more sustainable economy currently underway.

The company's world-class team brings together extensive experience in capital markets, investment research, money management, economics, policy, academic research and sustainable investing. The company is headquartered in Montreal, Canada.

About Foxberry

Rize ETF has engaged Foxberry Limited ("**Foxberry**") to administer the indices replicable by the Rize Global Sustainable Infrastructure UCITS ETF and the Rize USA Environmental Impact UCITS ETF.

Foxberry are an independent index administrator with state-of-the-art index management, structuring, analysis and technological capabilities typically only found within top-tier investment banks. Foxberry is authorised and regulated by the FCA and registered under the EU benchmark regulation.

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The Rize USA Environmental Impact UCITS ETF replicates the Foxberry SMS USA Environmental Impact USD Net Total Return Index. An investment in the Fund involves significant risk and is subject to the volatility of companies involved in the renewable energy, energy efficiency, electric vehicles, water, waste and recycling sectors and associated technologies.

The Rize Global Sustainable Infrastructure UCITS ETF replicates the Foxberry SMS Global Sustainable Infrastructure USD Net Total Return Index. An investment in the Fund involves significant risk and is subject to the volatility of companies involved in the renewable energy, energy efficiency, electric vehicles, water, waste and recycling sectors and associated technologies and emerging market political and economic risks.

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The products referred to in this marketing communication are offered by Rize UCITS ICAV ("Rize ETF"). Rize ETF is an open-ended Irish collective asset management vehicle which is constituted as an

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umbrella fund with variable capital and segregated liability between its sub-funds (each, a "Fund") and registered in Ireland with registration number C193010 and authorised by the Central Bank of Ireland as a UCITS. Rize ETF is managed by IQ EQ Fund Management (Ireland) Limited ("IQ EQ"). The prospectus (including the Fund-specific supplements and other supplements), the KIIDs, the constitutional document of Rize ETF and the latest annual and semi-annual reports of Rize ETF, the latest Net Asset Values of the Funds and details of the underlying investments of the Funds (together, the "Fund Information") are available at <http://www.rizeetf.com>. Any decision to invest must be based solely on the Fund Information. Investors should read the Fund-specific risks in Rize ETF's prospectus, Fund-specific supplements and the KIIDs. The indicative intra-day net asset values of the Funds are available at <http://www.solactive.com>.

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